

2879

Practitioner's Docket No. U 013892-6

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of WEN-CHIH HO

Serial No.: 10/084,876

Group No.: 2879

Filed: FEBRUARY 28, 2002

Examiner:

For: LIGHT-MIXING LAYER AND METHOD

Assistant commissioner for Patents
Washington, D.C. 20231

**PRELIMINARY
AMENDMENT TRANSMITTAL**

1. Transmitted herewith is an amendment for this application.

STATUS

2. Applicant is

- ☐ a small entity. A statement:
☐ is attached.
☐ was already filed.
☒ other than a small entity.

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CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

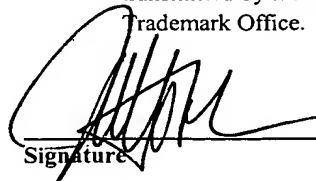
I hereby certify that, on the date shown below, this correspondence is being:

MAILING

- ☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

- ☐ transmitted by facsimile to the Patent and Trademark Office.


Signature

Date: April 9, 2002

JULIAN H. COHEN
(type or print name of person certifying)

EXTENSION OF TERM

NOTE: "Extension of Time in Patent Cases (Supplement Amendments) — If a timely and complete response has been filed after a Non-Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.

If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely-filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run." Notice of December 10, 1985 (1061 O.G. 34-35).

NOTE: See 37 C.F.R. 1.645 for extensions of time in interference proceedings, and 37 C.F.R. 1.550(c) for extensions of time in reexamination proceedings.

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.

(complete (a) or (b), as applicable)

(a) ☐ Applicant petitions for an extension of time under 37 C.F.R. 1.136 (fees: 37 C.F.R. 1.17(a)(1)-(4)) for the total number of months checked below:

	<u>Extension (months)</u>	<u>Fee for other than small entity</u>	<u>Fee for small entity</u>
<input type="checkbox"/>	one month	\$ 110.00	\$ 55.00
<input type="checkbox"/>	two months	\$ 400.00	\$ 200.00
<input type="checkbox"/>	three months	\$ 920.00	\$ 460.00
<input type="checkbox"/>	four months	\$ 1,440.00	\$ 720.00

Fee: \$ _____

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

☐ An extension for _____ months has already been secured. The fee paid therefor of \$ _____ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ _____

OR

(b) ☒ Applicant believes that no extension of term is required. However, this is a conditional petition being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY	OTHER THAN A SMALL ENTITY
	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Addit. Fee	Addit. Fee
Total	* Minus	**	=	x \$ 9= \$	x \$ 18= \$
Indep.	* Minus	***	=	x \$ 42= \$	x \$ 84= \$
<input type="checkbox"/> First Presentation of Multiple Dependent Claims				+ \$140= \$	+ \$280= \$
				Total Addit. Fee	Total Addit. Fee
				\$ _____	OR \$ _____

- * If the entry in Col. 1 is less than the entry in Col. 2, write "O" in Col. 3,
 ** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, enter "20".
 *** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".
 The "Highest No. Previously Paid For" (Total or Indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

WARNING: "After final rejection or action (§ 1.113) amendments may be made canceling claims or complying with any requirement of form which has been made." 37 C.F.R. 1.116(a) (emphasis added).

(complete (c) or (d), as applicable)

- (c) ☒ No additional fee for claims is required.

OR

- (d) ☐ Total additional fee for claims required \$ _____.

FEE PAYMENT

5. ☐ Attached is a check in the sum of \$ _____.
☐ Charge Account No. 12-0425 the sum of \$ _____.
 A duplicate of this transmittal is attached.

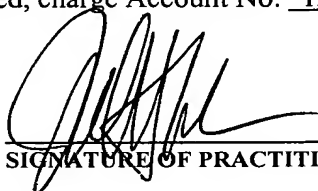
FEE DEFICIENCY

NOTE: *If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, (1065 O.G. 31-33).*

6. ☒ If any additional extension and/or fee is required, charge Account No. 12-0425.

AND/OR

- ☒ If any additional fee for claims is required, charge Account No. 12-0425



SIGNATURE OF PRACTITIONER

Reg. No. 20,302

JULIAN H. COHEN

(type or print name of practitioner)

Tel. No.(212)708-1887

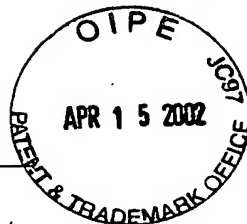
LADAS & PARRY

P.O. Address

Customer No. 00140

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NEW YORK, N.Y. 10023

Practitioner's Docket No. U 013892-6



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Wen-Chih HO

Serial No.: 10/084,876

Filed: February 28, 2002

For: LIGHT-MIXING LAYER AND METHOD

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

PRELIMINARY AMENDMENT

Please amend the above identified application as follows:

IN THE SPECIFICATION:

Page 2, replace the paragraph beginning on line 13 with the following:

FIG. 1 shows a prior LED component, including an LED chip 11 placed on a chip cup 12, a phosphor layer 15 covering the LED chip 11, an electrode 13, bonding wires 14 connecting the LED chip 11, the electrode 13 and the chip cup 12, respectively, and a transparent encapsulant 16. FIG. 2 shows an enlarged hint diagram of the LED chip 11 and phosphor layer 15 in FIG. 1.

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231

Date: APRIL 9, 2002

JULIAN H. COHEN
(Signature of person mailing paper)

(Type or print name of person certifying)